

**ITEM 1: COVER PAGE FOR PART 2B OF FORM ADV:  
BROCHURE SUPPLEMENT**

**March 2024**



**Mr. Cesar Murillo, CIMA®**

**Investment Advisor Representative | CRD# 3167116**

Emergent Global Investments, LLC  
2525 Ponce de Leon Blvd, Ste 300  
Coral Gables, FL 33134  
(305) 497-8243  
Cesar.Murillo@emergentgi.com  
www.emergentgi.com

*This brochure provides information about the qualifications and business practices of Mr. Cesar Murillo of Emergent Global Investments, LLC. If you have any questions about the contents of this brochure, please contact us at (305) 497-8243 or by email at: Cesar.Murillo@Emergentgi.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.*

*Additional information about Emergent Global Investments, LLC is available on the SEC's website: [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)*

*Registration does not imply a certain level of skill or training.*

## Item 2: Educational and Business Experience

### Name:

Cesar Murillo

Born: 1970

### Certifications, Education & Industry Exams Passed:

CERTIFIED INVESTMENT MANAGEMENT ANALYST® (CIMA®) | 2005

The CIMA certification signifies that an individual has met initial and ongoing experience, ethical, education, and examination requirements for investment management consulting, including advanced investment management theory and application. To earn CIMA certification, candidates must: submit an application, pass a background check and have an acceptable regulatory history; complete an in-person or online executive education program through a Registered Education Provider; pass an online Certification Examination; Pass a second background check; and have three years of financial services experience at the time of certification.

CIMA certificants must adhere to Investments & Wealth Institute's *Code of Professional Responsibility*, and *Rules and Guidelines for Use of the Marks*. CIMA designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification.

State University of New York College at Oswego | BA in Political Science | 1994

American University | Washington D.C. | Internship Program | 1993

Series 7 | General Securities Representative Examination | 2000

Series 66 | Uniform Combined Agent State Law Examination | 2011

### Financial Services Background:

EMERGENT GLOBAL INVESTMENTS, LLC (CRD:280875), Miami, FL | 07/2017 – Present

AGUILA ASSET MANAGEMENT, LLC (CRD:159759), MIAMI, FL | 11/2012 – 12/2016,

MARCO POLO SECURITIES INC. (CRD:46561) NEW YORK, NY | 05/2014 - 01/2015

MERRILL LYNCH, (CRD:7691) CORAL GABLES, FL | 08/2010 - 01/2012

GLOBAL ARENA CAPITAL CORP (CRD:16871), NEW YORK, NY | 01/2010 - 09/2010

VECTORGLOBAL WMG (CRD:32396), MIAMI, FL | 04/2009 – 05/2009

CONSULTIVA SECURITIES, INC. (CRD:103818), GUAYNABO, PR | 08/2006 - 04/2007

ING INVESTMENT MANAGEMENT SERVICES, LLC (CRD:21644), NYC, NY | 07/2000 - 04/2005

### **Item 3: Disciplinary Information**

As an Investment Advisor Representative you are required to disclose all material fact regarding any legal or disciplinary events that would be material in your evaluation. Currently, Mr. Cesar Murillo has no information applicable to this requirement. For more information about Mr. Cesar Murillo, please visit FINRA's Broker Check at [www.finra.org/brokercheckand/or](http://www.finra.org/brokercheckand/or) the SEC's Investment Advisor Search at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4: Other Business Activities**

Mr. Cesar Murillo is not engaged in any investment-related business or occupation at this time (other than EGI).

Mr. Cesar Murillo does offer services as an international business consultant. His consulting services are offered through Emergent Global Consulting, LLC (EGC). EGC is an unrelated entity to that of EGI. Mr. Cesar Murillo receives compensation for his international business consulting.

EGI has entered into a "Solicitors Agreement" with Atrio Wealth, LLC. Atrio Wealth is a marketing company in which Mr. Murillo owns 50%.

### **Item 5: Additional Compensation**

Mr. Cesar Murillo does not receive any economic benefit from any person, company, or organization in the financial industry, other than Emergent Global Investments, LLC.

Mr. Cesar Murillo receives compensation from his work as a consultant with EGC and this work is unrelated to his work and role with EGI.

Mr. Cesar Murillo receives compensation from his ownership stake in Atrio Wealth, LLC.

### **Item 6: Supervision**

As President & CCO of Emergent Global Investments, LLC, Mr. Cesar Murillo supervises all activities of the firm. Mr. Cesar Murillo's contact information is on the cover page of this disclosure document. Cesar Murillo adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, the firm's compliance manual including the code of ethics, and appropriate securities regulatory requirements.

## **Item 7: Requirements for State-Registered Advisers**

*This disclosure is required by Florida state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

Cesar Murillo has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- a) an investment or an investment-related business or activity;
- b) fraud, false statement(s), or omissions;
- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion; or
- e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- a) an investment or an investment-related business or activity;
- b) fraud, false statement(s), or omissions;
- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion; or
- e) dishonest, unfair, or unethical practices.

B. Cesar Murillo has NOT been the subject of a bankruptcy petition in the past ten years.